Polynomial and analytic monads, revisited

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Plan of the talk

- **1** Motivation (paradigmatic example).
- ² (Co)completeness of and in 2-categories.
- ³ Main theme: extensions of representations.
- ⁴ Kleisli and Eilenberg-Moore objects in 2-category of monoidal objects and lax monoidal functors.
- ⁵ Kleisli and Eilenberg-Moore objects in 2-category of actions of monoidal objects.
- **6** Examples.
- **7** A construction of opetopic sets via Burroni fibration.
- **8** More examples.

Motivating example

The category $Set_{/\omega}$ of untyped algebraic signatures with the substitution tensor

$$
\{A_n\}_n \otimes \{B_n\}_n = \{ \coprod_{k,m_1,...,m_k \in \omega: \sum_{i=1}^k m_i = n} A_k \times B_{m_1} \times ... B_{m_k}\}_n
$$

is a monoidal category. It acts on Set

$$
* : Set_{/\omega} \times Set \longrightarrow Set
$$

$$
\langle \{A_n\}_n, X \rangle \mapsto \coprod_n A_n \times X^n
$$

... and by exponential adjunction we get a strong monoidal functor

$$
r:\mathit{Set}_{/\omega}\longrightarrow\mathit{End}(\mathit{Set})
$$

that has a (lax monoidal) right adjoint $(U(H) = {H(n)}_{n \in \omega})$, for $H : Set \rightarrow Set$ [Polynomial and analytic monads, revisited](#page-0-0)

Fact

 \bullet The closure under isomorphism in $End(Set)$ of the 'image' of

 $\mathbf{r}: \mathcal{S}\text{et}_{/\omega} \longrightarrow \mathcal{E}\text{nd}(\mathcal{S}\text{et})$

is the category **Poly** of (finitary) polynomial endo-functors and cartesian natural transformations.

2 The closure under reflexive coequalizers **Poly** in *End(Set)* is the category An of (finitary) analytic endo-functors and weakly cartesian natural transformations.

- NB. Both classes of functors have abstract characterizations:
	- **1** polynomial endofunctor on Set are finitary wide pullback preserving functors;
	- 2 analytic endofunctor on Set are finitary weak wide pullback preserving functors.

We can describe the analytic functors on Set as follows.

A symmetric signature (A, α) is a graded set $\{A_n : n \in \omega\}$ equipped with (right) actions of symmetric groups α_n : $A_n \times S_n \to A_n$, for $n \in \omega$.

A morphism of symmetric sets $f : (A, \alpha) \rightarrow (B, \beta)$ is a family of morphisms of actions f_n : $(A_n, \alpha_n) \rightarrow (B_n, \beta_n)$, for $n \in \omega$.

On the category of symmetric signatures we can also define a substitution tensor but it is more complicated since we need to take actions of symmetric groups into account.

Notation. We write $a \in A$ to mean that $a \in \prod_n A_n$ and if $a \in A$, then we write $|a| = n$ to mean that $a \in A_n$. Thus, for $a \in A$, we have $a \in A_{|a|}$.

The substitution tensor product on symmetric signatures

Let (A, α) , (B, β) be two symmetric signatures. The tensor product

$$
(A, \alpha) \otimes_{\mathcal{O}} (B, \beta) = (A \otimes B, \alpha \otimes \beta)
$$

is defined as follows

$$
(A \otimes_{\mathcal{O}} B)_n =
$$

= { $\langle a, \langle b_i \rangle_{i \in (|a|]}, \sigma \rangle : \sum_i |b_i| = n, b_i \in B, a \in A, \text{ for } i \in (|a|], \sigma \in S_n \rangle_{/\sim}$

where the equivalence relation \sim is defined as follows:

$$
\langle a\cdot \tau; \langle b_{\tau(i)}\cdot \sigma_{\tau(i)}\rangle_i; \sigma\rangle \sim \langle a, \langle b_i\rangle_i, \tau*(\sigma_{\tau(1)},\ldots,\sigma_{\tau(|a|)})\circ \sigma\rangle
$$

where $\tau\in S_{|{\boldsymbol{a}}|},\ \sigma_i\in S_{|{\boldsymbol{b}}_i|},\ \sigma\in S_{\sum_i|{\boldsymbol{b}}_i|},\ *$ is the composition in the operad of symmetries, and ◦ is the usual composition of permutations. [Polynomial and analytic monads, revisited](#page-0-0)

The equivalence class of the element \langle a, $\langle b_i \rangle_{i\in(|a|]}, \sigma \rangle$ with be denoted by $[\langle a, \langle b_i \rangle_{i\in(|a|]}, \sigma \rangle]_\sim$.

The action $(A \otimes B, \alpha \otimes \beta)$ is defined in the obvious way

$$
[\langle a,\langle b_i\rangle_{i\in(|a|]},\sigma\rangle]_{\sim}\cdot\tau=[\langle a,\langle b_i\rangle_{i\in(|a|]},\sigma\circ\tau\rangle]_{\sim}.
$$

The category of symmetric signatures $\mathit{Sig}^{\mathcal{S}}$ acts also on the category Set

$$
\ddot{*}: Sig^S \times Set \longrightarrow Set
$$

$$
\langle \{A_n\}_n, X \rangle \mapsto \coprod_n (A_n \times X^n)_{\sim_n}
$$

where \sim_n is an equivalence relation such that for $n \in \omega$, $a \in A_n$ and \vec{x} : $\{1, \ldots, n\} \rightarrow X$, and $\sigma \in S_n$ we have

$$
\langle a,\vec{x}\rangle\sim\langle a\cdot\sigma,\vec{x}\circ\sigma\rangle
$$

... and by exponential adjunction we get again a strong monoidal functor

$$
\ddot{\mathbf{r}}: \mathsf{Sig}^{\mathcal{S}} \longrightarrow \mathsf{End}(\mathsf{Set}).
$$

In fact the substitution tensor product on $Sig^{\mathcal{S}}$ was so defined to make the fact true. [Polynomial and analytic monads, revisited](#page-0-0)

Motivation Monoidal categories and categories of monoids

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The functor \mathcal{U}^{\otimes} : $\mathsf{mon}((\mathit{Set}_{/\omega}) \longrightarrow \mathit{Set}_{\omega}$ has a left adjoint $\mathcal{F}^\otimes \vdash \mathcal{U}^\otimes$ and the resulting monad \mathcal{T}^\otimes of free monoids distributes over symmetrization monad S . The distributive law is, what Baez and Dolan call combing trees. This I will explain on a picture:

NB. The functors $\mathcal{U}^{\dot\otimes},\,\mathcal{U}^{\dot\otimes}$ are also monadic. [Polynomial and analytic monads, revisited](#page-0-0)

Motivation Actions of monoidal categories and categories of actions of monoids

Some limits and colimits in 2-categories

Let $\mathcal A$ be a 2-category with finite products. We will consider the following weighted limits and colimits in $\mathcal A$

- \bullet Kleisli and Eilenberg-Moore objects (for monads in A);
- **2** objects of monoids (for monoidal objects in \mathcal{A});
- ³ objects of actions along actions (for actions of monoidal objects of A on 0-cells of A);

...and moreover we ask for exactness properties:

- **1** Kleisli objects commute with finite products;
- 2 Comparison morphisms from Kleisli objects are full and faithful

We do not assume $\mathcal A$ has all of these (co)limits but just in some interesting cases.

Concerning the exactness properties, we expect them to hold whenever the constructions are available.

Reflexive coequalizers in 0-cells A 0-cell C in a 2-category A has reflexive coequalizer (or is rc) if for any 0-cell X of A the category $A(X, C)$ has coequalizers of reflexive pairs of morphisms and for any 1-cell $H: \mathcal{Y} \to \mathcal{X}$ the functor

$$
\mathcal{A}(H,\mathcal{C}): \mathcal{A}(\mathcal{X},\mathcal{C}) \longrightarrow \mathcal{A}(\mathcal{Y},\mathcal{C})
$$

preserves them.

A 1-cell $F: \mathcal{C} \to \mathcal{D}$ in A preserves reflexive coequalizers (or is rc) if for any $\mathcal X$ in $\mathcal A$ the functor

$$
\mathcal{A}(\mathcal{X}, F): \mathcal{A}(\mathcal{X}, C) \longrightarrow \mathcal{A}(\mathcal{X}, \mathcal{D})
$$

preserves coequalizers of reflexive pairs of morphisms.

1 2

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In a 2-category \mathcal{A}:
r representation 1-cell (faithful, conservative), i.e.,
C - 'abstract', M - 'concrete' and rc.
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In a 2-category \mathcal{A} : r representation 1-cell (faithful, conservative), i.e., C - 'abstract', M - 'concrete' and rc.

- \bullet \bullet is full and faithful;
- $\mathbf{\hat{z}}$ $\mathbf{\hat{r}}$ is also expected to be full and faithful with $\mathcal{C^R}$ keeping some nice properties of C.

Kleisli and Eilenberg-Moore monoidal objects A - 2-category with finite products.

$\mathsf{Mon}_{\mathfrak{l}}(\mathcal{A})$ - 2-category:

- 0-cells: monoidal objects in \mathcal{A} ;
- 1-cells: lax monoidal 1-cells in \mathcal{A} ;
- 2-cells: monoidal 2-cells in A.

Let R be an rc-lax monoidal monad on an rc-monoidal category $(C, \otimes, I, \alpha, \lambda, \rho)$ in A (C and \otimes are rc). If R admits both Kleisli and Eilenberg-Moore objects for monads in A , then R admits both Kleisli and Eilenberg-Moore objects in $Mon_{1}(A)$ and they are both standard. The tensor in $\mathcal{C}^{\mathcal{R}}$ is given by Linton's formula.

Contributors to this result: F. Linton (1969), R. Guitar, I. Moerdijk, P. McCrudden, S. Szawiel, MZ, G. Seal.

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Kleisli and Eilenberg-Moore monoidal objects

 $(C, \otimes, I, \alpha, \lambda, \rho)$ rc-monoidal object in A (C and \otimes are rc), X an exponentiable rc-0-cell in A , and

$$
C \times \mathcal{X} \xrightarrow{\hspace{0.5cm} (\star,\psi) \hspace{0.5cm} \star \mathcal{X},\hspace{0.5cm} \star \mathcal{X},\hspace{0.5cm} \star \mathcal{X},
$$

(strong) action of C on X. By exponential adjunction we get a strong monoidal representation

$$
c \xrightarrow{\qquad (r,\phi)} \qquad x^{\chi},
$$

which can have a (lax) monoidal right adjoint $(r + U)$

$$
c \xrightarrow{\hspace{1cm}} x^{\lambda^{\lambda}},
$$

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inducing a lax monoidal monad $\mathcal{R} = Ur$ on C.

Kleisli and Eilenberg-Moore monoidal objects

Thus we have a situation

If free ⊗-monoids exist, i.e., \mathcal{U}^{\otimes} has a left adjoint, then the induced monad \mathcal{T}^{\otimes} on $\mathcal C$ distributes over $\mathcal R$, i.e., we have a distributive law:

$$
\kappa: \mathcal{T}^{\otimes }\mathcal{R} \longrightarrow \mathcal{RT}^{\otimes }
$$

Kleisli and Eilenberg-Moore monoidal objects

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$$
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$$

All the above lifts to actions of monoidal objects. First we lift the Kleisli and Eilenberg-Moore objects to the lax slice $\mathsf{Mon}_l(\mathcal{A})_{l\in\mathcal{X}}$

Then we move this diagram to the isomorphic 2-category of actions $\mathsf{Act}_l\mathsf{Mon}_l(\mathcal{A}, \mathcal{X})$ of monoidal objects in $\mathcal A$ on $\mathcal X$

Kleisli and Eilenberg-Moore actions of monoidal objects

Finally, we can take the objects of actions along actions

Analytic and polynomial endofunctors on slices of Set 2-category $\mathsf{Fib}_{\mathsf{/Set}}$:

- 0-cells: fibrations over Set.
- 1-cells: functors commuting over the base (Fact of life: substitution tensor is NOT cartesian!),
- 2-cells: vertical natural transformations.

Burroni fibration of signatures

M - is the free monoid monad on Set. [Polynomial and analytic monads, revisited](#page-0-0)

Examples: Joyal

The tautologous action of Burroni fibration of signatures

where the right vertical arrow in the above diagram is the composite of the upper horizontal arrows in the following diagram

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in which the square is a pullback.

By the exponential adjunction, we get a strong monoidal morphism of (lax) monoidal fibrations

with **r** conservative but not full even on isomorphisms. **r** has a right adjoint U (in Fib_{/Set}) and the induced monad F is

$$
\mathcal{F}(A,\partial)_n=\coprod_{m\in\omega}\mathbb{F}(\underline{m},\underline{n})\times A_m
$$

for a signature (A, ∂) in $Gph(\mathbf{M})_O$, $n \in \omega$, $n = \{1, \ldots, n\}.$

The monad $\mathcal F$ has various submonads including symmetrization submonad S related to subcategory $\mathbb B$ (of finite sets and bijections) of F .

$$
S(A, \partial)_n = \coprod_{m \in \omega} \mathbb{B}(\underline{m}, \underline{n}) \times A_m = S_n \times A_n
$$

 S_n -symmetric group.

This monad gives a finer extension of the representation on the category of signatures. It gives rise to polynomial (finitary) functors with cartesian natural transformations as Kleisli extension and analytic (finitary) functor with weakly cartesian natural transformations as Eilenberg-Moore fibration.

Relative Burroni fibrations and relative τ -categories The construction of a lax monoidal fibration of T -graphs can be performed even on a fibred monad on a fibration. Suppose $p : \mathcal{E} \to \mathbf{B}$ is a fibration such that the fibres of p have pullbacks. Moreover (T, η, μ) is a monad on the category $\mathcal E$ so that T commutes over the base

and η , μ are fibred natural transformations (i.e., their components lie in the fibres of p).

Having such data we can repeat the construction of the category of T-graphs but restricting the objects to such spans

that are in fibres of p (i.e., $\mathit{p}(\gamma) = \mathit{p}(\delta) = 1_{\mathit{p}(O)}).$ The morphisms are defined as before. In this way, we get a relative Burroni fibration $p_T : Gph(T, p) \rightarrow \mathcal{E}$ of T-graphs over p. Clearly, p_T is a lax monoidal fibration with the tensor structure defined as before.

Thus we have a fibration of monoids with a forgetful to $Gph(T, p)$ as in the diagram

of functors and categories. As for any category \mathcal{C} , the functor ! : \mathcal{C} → 1 into the terminal category 1 is a fibration, this construction is a generalization of the previous one.

Opetopic sets through Burroni fibrations

Remark We can also define a basic fibration cod : $\mathcal{E}^{\rightarrow, p} \rightarrow \mathcal{E}$ relative to a fibration $p:\mathcal{E}\rightarrow\mathbf{B},$ so that the objects of $\mathcal{E}^{\rightarrow,p}$ are morphisms of $\mathcal E$ in fibres of p and morphisms are commuting squares. Then, as previously for the Burroni fibrations, we have a tautologous action the lax monoidal fibration $p_T : Gph(T, p) \rightarrow \mathcal{E}$ on a fibration $cod : \mathcal{E} \rightarrow \mathcal{E}$

If we take the exponential adjoint of this morphism, as before, we obtain a (relative) representation of relative T-graphs and relative T-categories.

Free relative T-categories

In his thesis T. Leinster has given a reasonable sufficient conditions for a cartesian monads T so that the forgetful functor U_T defined above has a left adjoint and permitting to iterate the construction in the terminal fiber.

NB. In fact this is a joint effort of at least J. Adamek, A. Burroni, H.J. Baues, M. Jibladze, A.Tonks, and G. M. Kelly.

Below we give a characterization of those fibrations p and fibred monads T on them for which one can iterate the process of taking T-graphs over a fibration p . In the exposition we use ideas from all the mentioned papers.

The notions of a suitable fibrations and a fibrewise suitable monad are very much inspired by the notions of a suitable category and a suitable monad, respectively, proposed by T. Leinster in his book.

The main difference of our approach with respect to that of T. Leinster is that we iterate whole fibrations over fibrations and get as a final result the category of opetopic sets, whereas T. Leinster's the construction is done fibre by fibre and gives the set of opetopes as a result. From the perspective of our construction this set of opetopes is the set of cells in the terminal opetopic set.

We say that a fibration $p : \mathcal{E} \to \mathbf{B}$ is suitable if and only if

- \bullet p has fibred pullbacks, finite coproducts, and filtered colimits,
- **2** finite coproducts and filtered colimits are universal in fibres of $p,$
- \bullet filtered colimits commutes with pullbacks in fibres of p .

Let $p : \mathcal{E} \to \mathbf{B}$ be a fibration with fibred pullbacks. A monad (T, η, μ) on $\mathcal E$ is cartesian relative to p if and only if (T, η, μ) is a fibred monad over p (i.e., $p \circ T = p$, $p(\eta) = 1_p = p(\mu)$) and the restriction of the monad (T, η, μ) to every fibre of p is a cartesian monad on this fibre.

Let $p : \mathcal{E} \to \mathbf{B}$ be a suitable fibration. We say that a monad (T, η, μ) on $\mathcal E$ is suitable relative to p if and only if (T, η, μ) is cartesian relative to p and T preserves filtered colimits in the fibres of p.

The following theorem is the key to the definition of the tower of fibrations that defines the category of opetopic sets.

- Let (T, η, μ) be a suitable monad relative to a suitable fibration $p : \mathcal{E} \to \mathbf{B}$. Then
	- **1** the fibration p_T over p is again suitable;
		- the forgetful functor U_T is monadic;
	- the monad $(\tilde{T}, \tilde{\eta}, \tilde{\mu})$ induced by the adjunction $\mathcal{F}_{T} \dashv \mathcal{U}_{T}$ is suitable relative to p_{T} .

Opetopic sets through Burroni fibrations

Using the above Theorem [1,](#page-48-0) and starting with any fibrewise suitable monad T_0 on a fibrewise suitable fibration $p : \mathcal{E}_0 \to \mathbf{B}$, we can build a tower of (fibrewise suitable) lax monoidal fibrations and fibrewise suitable monads as in the diagram below:

by interation of the construction.

Opetopic sets through Burroni fibrations

The identity monad 1_{Set} on Set is of course a fibrewise suitable on the fibrewise suitable fibration ! : $Set \rightarrow 1$, where 1 is the terminal category. Thus we can build a tower of fibrations, as above, starting form this fibration. We obtain

An opetopic set is an infinite sequence of objects ${A_n}_{n \in \omega}$ such that

 \bullet A_n is an object in \mathcal{O}_n ,

2 A_{n+1} lies in the fibre over A_n , i.e., $p_{\mathcal{T}_n}(A_{n+1}) = A_n$,

for $n \in \omega$. A morphism of opetopic sets ${f_n}_{n\in\omega}: {A_n}_{n\in\omega} \longrightarrow {B_n}_{n\in\omega}$ is a family of morphisms such that

1 $f_n : A_n \longrightarrow B_n$ is a morphism in \mathcal{O}_n

2 f_{n+1} lies in the fibre over f_n , i.e., $p_{\mathcal{T}_n}(f_{n+1}) = f_n$, for $n \in \omega$.

Opetopic sets through Burroni fibrations

Unraveling this definition, we see that an opetopic set (in the above sense) is an ∞ -span as the diagram below:

with

$$
\gamma_n \circ \gamma_{n+1} = \overline{\gamma}_n \circ \delta_{n+1}, \qquad \delta_n \circ \gamma_{n+1} = \overline{\delta}_n \circ \delta_{n+1}
$$

$$
\gamma_n \circ \overline{\gamma}_{n+1} = \overline{\gamma}_n \circ \overline{\delta}_{n+1}, \qquad \delta_n \circ \overline{\gamma}_{n+1} = \overline{\delta}_n \circ \overline{\delta}_{n+1}
$$

for $n \in \omega$.

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To describe the terminal opetopic set A, we need to start with $A_0 = 1$ the terminal object in Set. Then choose A_{n+1} as the terminal object in the fibre of ${{\color{black}\rho}}_{\mathcal{T}_n}$ over A_n . Thus A_1 is 1 and A_{n+1} for $n > 0$ can be taken as the limit in the following diagram:

The disjoint union of the sets ${A_n}_{n\in\omega}$ is the set of opetopes in the sense of T. Leinster.

The category of opetopic sets so defined is equivalent to the category of many-to-one polygraphs.

NB. This category of opetopic sets can defined as the limit of the diagram of categories \mathcal{O}_n .

Analytic endofunctors on presheaf categories

Let M be the monad on *Cat* for strict monoidal categories. (Modified) Burroni fibration of signatures over Cat

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NB. M - preserves (op)fibrations and two-sided discrete fibration.

Examples: Fiore-Gambino-Hyland-Winskel

The tautologous action of modified Burroni fibration of signatures

By the exponential adjunction, we get a strong monoidal morphism of (lax) monoidal fibrations

with **r** conservative but not full even on isomorphisms. **r** has a right adjoint U (in Fib_{/Cat}).

For $H: \widehat{\mathcal{O}} \to \widehat{\mathcal{O}}$, $U(H)$ is the two-sided discrete fibration

corresponding to

$$
\overline{H}:O^{op}\times\mathcal{M}(O)\longrightarrow Set
$$

which is an adjoint to

$$
\mathcal{M}(O) \xrightarrow{\iota_O} \widehat{O} \xrightarrow{H} \widehat{O}
$$

The induced monad $\mathcal{F} = Ur$ is

$$
\mathcal{F}(A,\gamma,\delta)(p,\vec{p})=\coprod_{m\in\omega,\sigma:\underline{m}\to\underline{n},\ q_1,\ldots,q_m\in O}A(p,\vec{q})\times\prod_{i\in\underline{m}}O(q_i,p_{\sigma(i)})
$$

for a signature (A, γ, δ) in $Gph(M)_O$, where $\vec{p} = \langle p_1, \ldots, p_n \rangle$, $p, p_i \in O$.

As before, the monad $\mathcal F$ has various submonads including symmetrization submonad S related to subcategory $\mathbb B$ (of finite sets and bijections) of F . The induced monad $\mathcal{F} = Ur$ is

$$
S(A,\gamma,\delta)(p,\vec{p})=\coprod_{\sigma:\underline{n}\to\underline{n}\in S_n,\ q_1,\ldots,q_n\in O}A(p,\vec{q})\times\prod_{i\in\underline{m}}O_{iso}(q_i,p_{\sigma(i)})
$$

for a signature (A, γ, δ) in $Gph(M)_O$, where $\vec{p} = \langle p_1, \ldots, p_n \rangle$, $p, p_i \in O$.

Examples: Fiore-Gambino-Hyland-Winskel

The monad S gives, again, a finer extension of the representation on the category of signatures. The image of the extended representation \ddot{r} consists of the analytic (endo)functors on presheaf categories of Fiore-Gambino-Hyland-Winskel

- ¹ Batanin-like context: take the Burroni fibration for the strict $ω$ -category monad over the category of $ω$ -graphs. NB. Without additional modifications the notion of analytic functor does not add anything new as the representation is already full on isomorphisms.
- ² Kock-Gambino: diagrams (defining polynomial functors) in a Icc category C form a fibration over C that acts on basic fibration over \mathcal{C} . We get a representation by an exponential adjoint...
- **3** Joyal-Gambino...

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Thank You for Your Attention!