

Lecture 3 Enriched categories

Motivation: Often, homsets $C(x, y)$ of a category carry some structure, and hence are not a mere set of morphisms (for C locally small).

It may even be the case that $C(x, y)$ is not a set! For example, it could be ... a simplicial set.

The framework to do this is that of enriched categories.

Famously, $C(x, y)$ may be an object of some other category \mathcal{V} .

And composition, which is usually a function

$$C(y, z) \times C(x, y) \rightarrow C(x, z)$$

becomes a morphism in \mathcal{V} . It turns out that we do not need \times to be a product in \mathcal{V} , but only a monoidal product, i.e. we need \mathcal{V} to be a

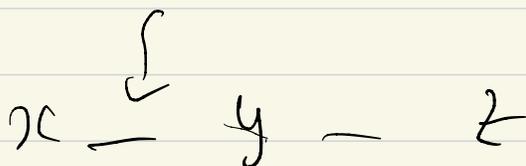
(symmetric) monoidal category



next slide!

Our key example will be

$C(x, y) = \text{simplicial set}$



Monoidal categories

Bergt Richter's book: From categories to homotopy theory (CUP 2020) p.151

Definition A monoidal category is a category \mathcal{C} , together with a functor $\otimes: \mathcal{C} \times \mathcal{C} \rightarrow \mathcal{C}$, an object e of \mathcal{C} , and natural isomorphisms α, λ, ρ as follows:

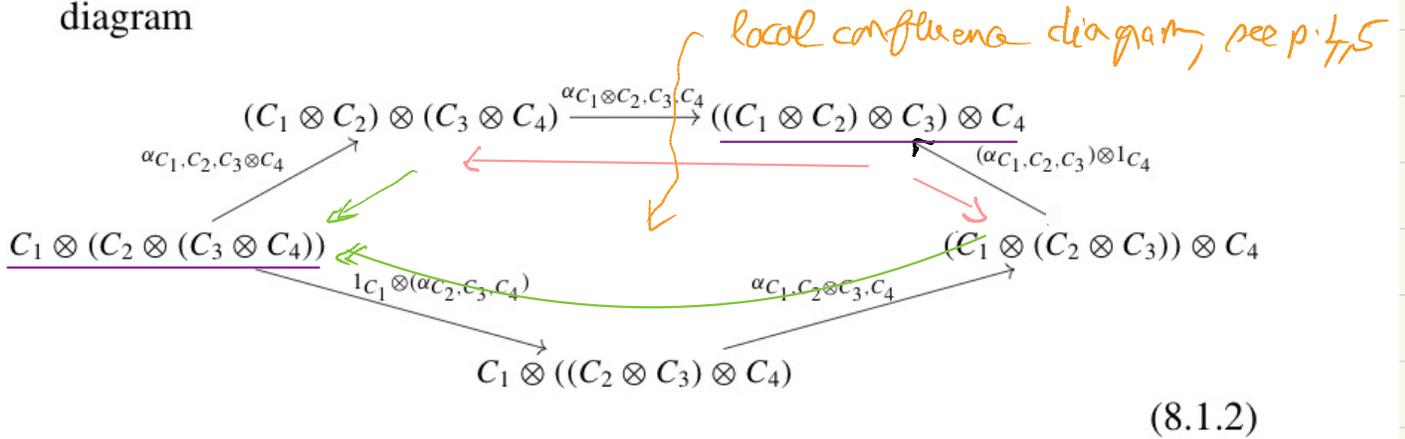
(bifunctor α) $\alpha_{C_1, C_2, C_3}: C_1 \otimes (C_2 \otimes C_3) \cong (C_1 \otimes C_2) \otimes C_3$, for all C_1, C_2, C_3 , and
 also written α or α^*
 (this orientation is more standard)

called tensor $\lambda_C: e \otimes C \cong C$, $\rho: C \otimes e \cong C$, for all C .

In addition, we have three coherence conditions:

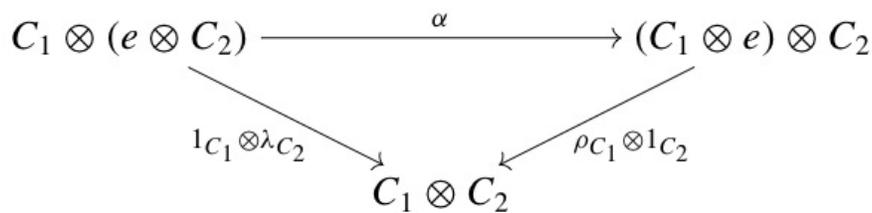
Mac Lane's pentagon

(1) The natural isomorphism α satisfies the pentagon axiom; that is, the diagram



commutes for all objects C_1, C_2, C_3, C_4 of \mathcal{C} .

(2) The natural isomorphisms λ and ρ satisfy a triangle axiom; that is, the diagram



commutes for all objects C_1, C_2 of \mathcal{C} .

If α, λ, ρ are all identities, then (1), (2) hold for free and we have a strict monoidal category

Prototypical example: the category \mathbf{Vect} of vector spaces \rightarrow whence the notation \otimes !

Coherence

The diagrams of the definition in p.d are called coherence diagrams. More generally, we have:

Coherence Theorem (stated informally)

All meaningful coherence diagrams commute.

To formalise this, we introduce some syntax:

Object terms: $A ::= X \mid I \mid A \otimes A$

object variable

shorthand for id_A, id_B

Canonical iso terms: $\varphi ::= d \mid d^{-2} \mid \lambda \mid \lambda^{-2} \mid e \mid e^{-1} \mid A \otimes \varphi \otimes B \mid \varphi \circ \varphi$

Typing rule (we consider linear object terms only (each object variable in A occurs only once in A))

implicit in these rules

$d: (A \otimes B) \otimes C \xrightarrow{\cong} A \otimes (B \otimes C) : d^{-2}$	$\lambda: I \otimes C \xrightarrow{\cong} C : \lambda^{-2} \quad e: C \otimes I \xrightarrow{\cong} C : e^{-2}$
$\varphi: B_1 \rightarrow B_2$	$\varphi: B \rightarrow C \quad \psi: A \rightarrow B$
$A \otimes \varphi \otimes C : A \otimes B_1 \otimes C \rightarrow A \otimes B_2 \otimes C$	$\psi \circ \varphi : A \rightarrow C$

It is immediate that if $\varphi: A \rightarrow B$ is provable, then

$$X \text{ occurs in } A \iff X \text{ occurs in } B$$

and that if $\{X \mid X \text{ occurs in } A\} = \{X_1, \dots, X_n\}$, then A, B

determine functors $A, B: C^n \rightarrow C$, and φ determines a natural transformation $\varphi: A \rightarrow B$

Coherence Theorem (formal statement) For A, B linear, all

canonical iso terms $\varphi: A \rightarrow B$ denote the same natural transformation.

$$A \begin{array}{c} \xrightarrow{\varphi_1} \\ \parallel \\ \xrightarrow{\varphi_2} \end{array} B$$

Mac Lane's proof of coherence in modern dress

(= Molière's M. Jourdain!)

Mac Lane was doing rewriting theory without knowing it

- 1 Remove all the canonical iso information from the system of previous page:
 and the inverses

$\frac{(A \otimes B) \otimes C \rightarrow A \otimes (B \otimes C)}{B_1 \rightarrow B_2}$	$\frac{I \otimes C \rightarrow C}{B \rightarrow C}$	$\frac{C \otimes I \rightarrow C}{A \rightarrow B}$
$\frac{A \otimes B_1 \otimes C \rightarrow A \otimes B_2 \otimes C}{A \rightarrow C}$		

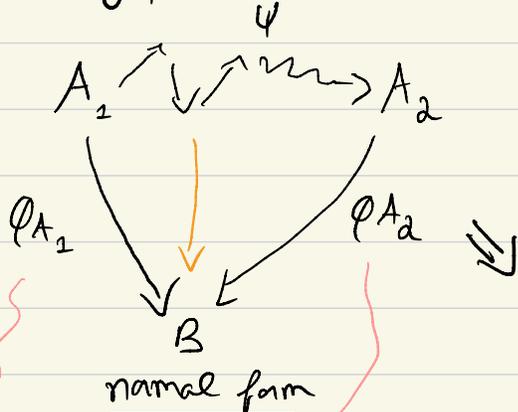
- 2 This rewriting system on (linear) object terms is

- locally confluent (this is what the diagrams in the definition on p.d ensure!)

- strongly normalising (remove I's, push parentheses to the right)

$\varphi_1, \varphi_2 : A \rightarrow B$ (B in normal form) $\Rightarrow \varphi_1 = \varphi_2$

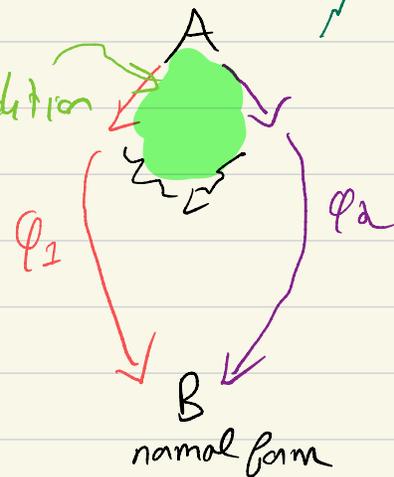
- 3 Finally, restore the inverses, and show that $\forall \psi$:



coherence condition

$\forall A \xrightarrow{\psi_1} A_2$

$\psi_2 = \varphi_{A_2}^{-1} \circ \varphi_{A_1} = \psi_2$

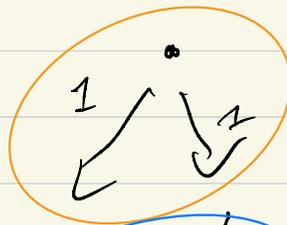


depending only on A_2, A_1 by 2

(see next slide for a rewriting reminder)

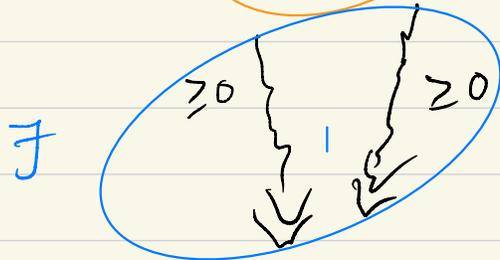
Rewriting theory background

- local confluence



∇

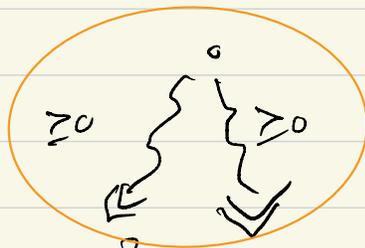
↑ repeated from lecture



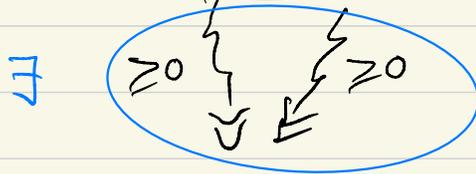
∇

↖ number of rewriting steps

- confluence



∇



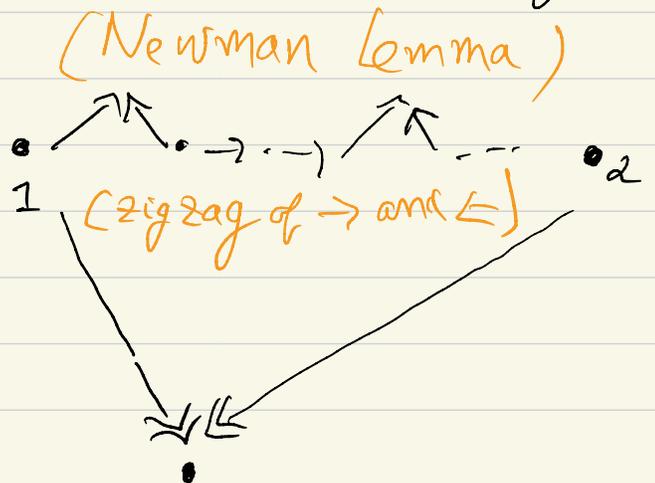
∇

- strong normalisation ∇ $\cdot \rightarrow \cdot \rightarrow \dots \rightarrow \dots$ (infinite)

- normal form: $\cdot \not\rightarrow$

local confluence + strong normalisation \Rightarrow confluence (Newman Lemma)

If confluence + strong norm., then



\Rightarrow \cdot_1 and \cdot_2 have the same normal form.

Symmetric monoidal categories

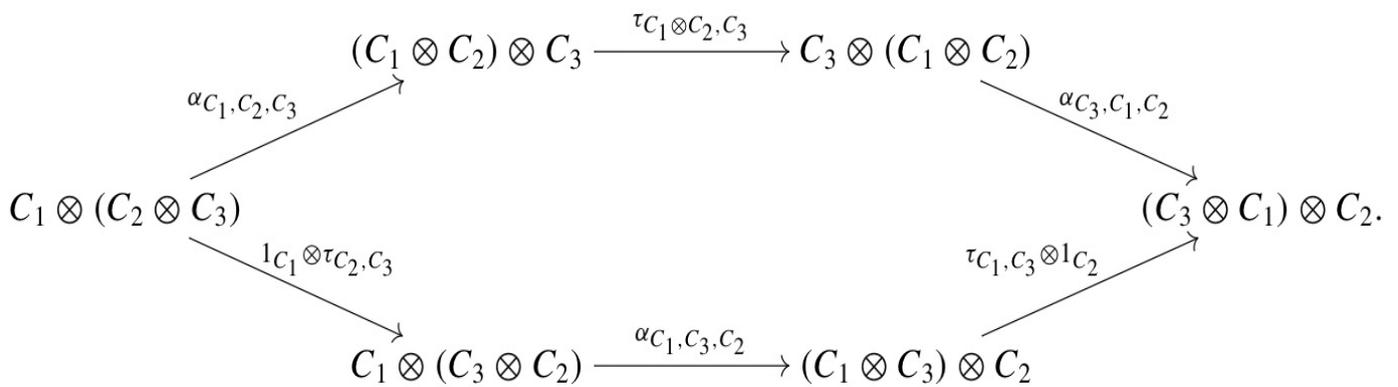
Richter p. 158

Definition A symmetric monoidal category consists of a monoidal category $(\mathcal{C}, \otimes, e; \alpha, \lambda, \rho)$, together with a natural isomorphism τ in \mathcal{C} with

$$\tau_{C_1, C_2}: C_1 \otimes C_2 \cong C_2 \otimes C_1$$

for all objects C_1, C_2 of \mathcal{C} , such that τ satisfies the following conditions:

- (1) For all objects C_1, C_2 of \mathcal{C} , $\tau_{C_2, C_1} \circ \tau_{C_1, C_2} = 1_{C_1 \otimes C_2}$.
- (2) For all objects C of \mathcal{C} , $\rho_C = \lambda_C \circ \tau_{C, e}: C \otimes e \cong C$.
- (3) The natural isomorphism τ is compatible with α in the sense that for all objects C_1, C_2, C_3 of \mathcal{C} , the following hexagon diagram commutes:



The coherence theorem extends to sym. monoidal categories

In terms of string diagrams, the hex is



Exercise Show that if \mathcal{C} has finite products (i.e. terminal object + binary products), then it is symmetric monoidal.



as a poset category, has all limits.
we have that $\text{Cat}, \text{Spet}, \dots$ are symmetric monoidal

Proof of coherence for symmetric monoidal categories

The original proof by Mac Lane goes in two steps:

- it "gets rid of parentheses" = monoidal
- and then it amounts to contemplating the Coxeter presentation of the symmetric group.

Exercise: If we extend the syntax of p.3 with

$\tau_{A,B} : A \otimes B \rightleftharpoons B \otimes A = \tau_{B,A}$, and if we decide to orient any τ step as follows:

$$C \otimes (A \otimes B) \otimes D \rightarrow C \otimes (B \otimes A) \otimes D$$

if $\max\{i \mid X_i \in A \otimes B\} \in \{j \mid X_j \in B\}$, and if one orients 2-steps as previously, then the resulting rewriting system is convergent (i.e. terminating and confluent), and the proof of coherence can be carried out "in one step" as in p.4.

Monoidal functors

Ridder pp 161-162

Definition Let $(\mathcal{C}, \otimes, e_{\mathcal{C}})$ and $(\mathcal{D}, \square, e_{\mathcal{D}})$ be two monoidal categories.

- A functor $F: (\mathcal{C}, \otimes, e_{\mathcal{C}}) \rightarrow (\mathcal{D}, \square, e_{\mathcal{D}})$ is a *lax monoidal functor* if for each pair of objects C_1, C_2 of \mathcal{C} , there is a morphism

$$\varphi_{C_1, C_2}: F(C_1) \square F(C_2) \rightarrow F(C_1 \otimes C_2)$$

in \mathcal{D} , which is natural in C_1 and C_2 , and there is a morphism

$$\eta: e_{\mathcal{D}} \rightarrow F(e_{\mathcal{C}})$$

in \mathcal{D} , satisfying

$$\begin{array}{ccc} F(C_1) \square (F(C_2) \square F(C_3)) & \xrightarrow{\alpha_{\mathcal{D}}} & (F(C_1) \square F(C_2)) \square F(C_3) \\ \downarrow 1_{F(C_1)} \square \varphi_{C_2, C_3} & & \downarrow \varphi_{C_1, C_2} \square 1_{F(C_3)} \\ F(C_1) \square (F(C_2 \otimes C_3)) & & (F(C_1 \otimes C_2)) \square F(C_3) \\ \downarrow \varphi_{C_1, C_2 \otimes C_3} & & \downarrow \varphi_{C_1 \otimes C_2, C_3} \\ F(C_1 \otimes (C_2 \otimes C_3)) & \xrightarrow{F(\alpha_{\mathcal{C}})} & F((C_1 \otimes C_2) \otimes C_3), \end{array}$$

$$\begin{array}{ccc} F(C) \square e' & \xrightarrow{\rho_{F(C)}^{\mathcal{D}}} & F(C) & \text{and} & e' \square F(C) & \xrightarrow{\lambda_{F(C)}^{\mathcal{D}}} & F(C) \\ \downarrow 1_{F(C)} \square \eta & & \uparrow F(\rho_C^{\mathcal{C}}) & & \downarrow \eta \square 1_{F(C)} & & \uparrow F(\lambda_C^{\mathcal{C}}) \\ F(C) \square F(e) & \xrightarrow{\varphi_{C, e}} & F(C \otimes e), & & F(e) \square F(C) & \xrightarrow{\varphi_{e, C}} & F(e \otimes C). \end{array}$$

- A lax monoidal functor $F: \mathcal{C} \rightarrow \mathcal{D}$ is *strong monoidal* if the structure morphisms φ and η are natural isomorphisms.
- A lax monoidal functor F is *strictly monoidal* if φ and η are identities.

Example If V is monoidal, then $V(*, -): V \rightarrow \text{Set}$ is monoidal (with cartesian structure of Set as mon. structure)

$$\begin{array}{ccccccc} V(*, v) & \times & V(*, w) & \rightarrow & V(* \otimes *, v \otimes w) & \rightarrow & V(*, v \otimes w) \\ f & & g & \mapsto & f \otimes g & & \text{post composition with } \lambda_{v \otimes w}^{-1} = \rho^{-1} \end{array}$$

V-categories (\mathcal{V} sym. monoidal)

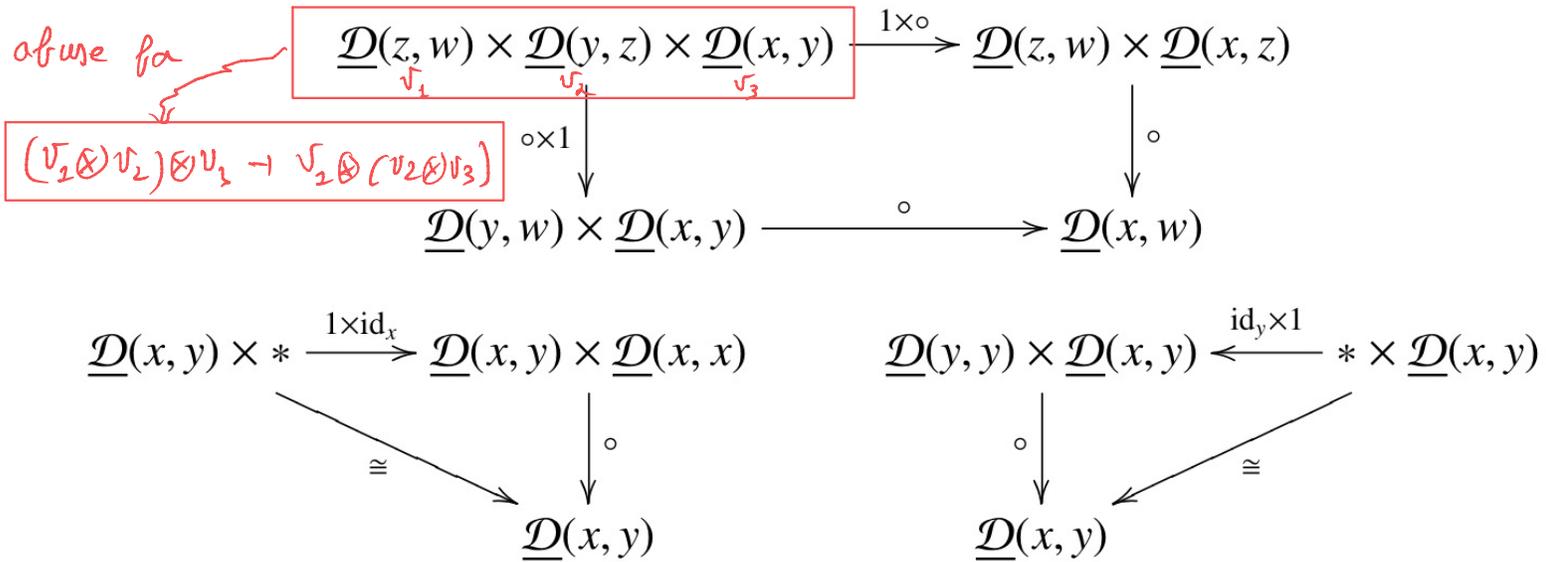
Riehl Cat. Hom. theory p. 35

DEFINITION A \mathcal{V} -category $\underline{\mathcal{D}}$ consists of

- a collection of objects $x, y, z \in \underline{\mathcal{D}}$
- for each pair $x, y \in \underline{\mathcal{D}}$, a **hom-object** $\underline{\mathcal{D}}(x, y) \in \mathcal{V}$
- for each $x \in \underline{\mathcal{D}}$, a morphism $\text{id}_x: * \rightarrow \underline{\mathcal{D}}(x, x)$ in \mathcal{V}
- for each triple $x, y, z \in \underline{\mathcal{D}}$, a morphism $\circ: \underline{\mathcal{D}}(y, z) \times \underline{\mathcal{D}}(x, y) \rightarrow \underline{\mathcal{D}}(x, z)$ in \mathcal{V}

Riehl's notation for tensor!

such that the following diagrams commute for all $x, y, z, w \in \underline{\mathcal{D}}$:



An ordinary category = Set-enriched category

Definition A \mathcal{V} -monoidal closed category is a \mathcal{V} -monoidal category in which $- \otimes v: \mathcal{V} + \mathcal{V}$ admits a right adjoint $\underline{\mathcal{V}}(v, -): \mathcal{V}(u \otimes v, w) \simeq \mathcal{V}(u, \underline{\mathcal{V}}(v, w))$

Proposition If \mathcal{V} is mon. closed, then \mathcal{V} is enriched over itself (and then we write $\underline{\mathcal{V}}$).

Proof Easy: $\text{ob}(\underline{\mathcal{V}}) = \text{ob } \mathcal{V}$ $\underline{\mathcal{V}}(x, y) = \underline{\mathcal{V}}(x, y)$

Cat-enriched categories (= 2-categories)

Let us spell out what a Cat-enriched category \underline{C} is

- C_0 (a $Ob C$): a collection of objects or 0-cells

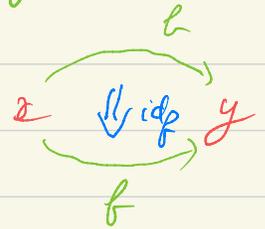
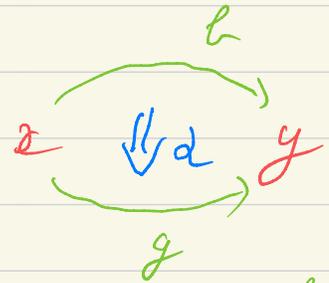
- $C_1 = Ob \underline{C}(x, y)$: 1-morphisms

- $C_2 = \underline{C}(x, y)(\beta, \gamma)$: 2-morphisms

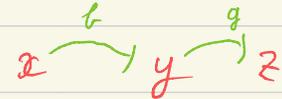
- We have identities at two levels:

- $*$ $\rightarrow \underline{C}(x, x)$ gives $x \xrightarrow{id_x} x$

- The category structure on $\underline{C}(x, y)$ gives



- The object part of $\underline{C}(y, z) \times \underline{C}(x, y) \rightarrow \underline{C}(x, z)$ gives composition of 1-morphisms: $g \circ f$



along a 0-cell \leftarrow

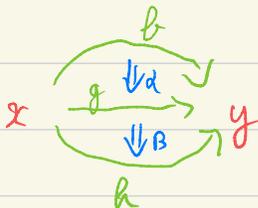
yielding an ordinary category structure ($Ob = C_0, Mor = C_1$)

- We have composition of 2-morphisms at two levels:

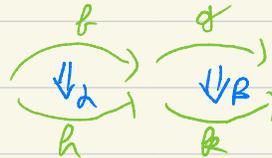
- composition in $\underline{C}(x, y)$

- Functor part of $\underline{C}(y, z) \times \underline{C}(x, y) \rightarrow \underline{C}(x, z)$

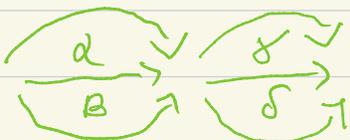
(vertical) $B \circ_1 d$ along a 1-morphism



(horizontal) $B \circ_0 d$ along a 0-cell



- Functoriality of $\underline{C}(y, z) \times \underline{C}(x, y) \rightarrow \underline{C}(x, z)$ ensures non-ambiguity in



Interchange law: $(\delta \circ_1 \beta) \circ_0 (B \circ_1 d) = (\beta \circ_0 B) \circ_1 (\delta \circ_0 d)$

A few words on 2-categories

The prototypical 2-category is the 2-category cat of

- categories as 0-cells
- functors as 1-morphisms
- natural transformations

(This picture extends to the enriched setting, see exercises p.11)

The notions of adjunction, equivalence of categories, monad, comonad,

all born in cat, make sense in any 2-category

(see second exercise on p.13 for the instantiation to the 2-category V-cat of enriched categories)

Underlying category of a V -category

or just C

If \underline{C} is a V -category, we define an ordinary category C_0 by

- $Ob C_0 = Ob \underline{C}$
- $C_0(x, y) = V(*, \underline{C}(x, y))$
- $id_x \text{ in } C_0 = id_x \text{ in } \underline{C}$
- Composition is given by

Riehl CRT 0.42

$$\begin{array}{ccc}
 C_0(y, z) \times C_0(x, y) & \xrightarrow{\quad\quad\quad} & C_0(x, z) \\
 \parallel & & \parallel \\
 V(*, \underline{C}(y, z)) \times V(*, \underline{C}(x, y)) & \xrightarrow{\quad\quad\quad} & V(*, \underline{C}(x, z)) \\
 \text{\scriptsize } V(*, -) \text{ monoidal} & & \text{\scriptsize precomposing with } 0
 \end{array}$$

Exercise Show that if V is mon. closed, then the underlying category of \underline{V} is V .

Exercise Show that the underlying category of a 2-category is the category formed by its 0-cells and 1-morphisms.
In particular Cat is the underlying category of \underline{Cat}

The underlying category functor is just one example of change of base: for any V, U , and $F: V \rightarrow U$ lax-monoidal, and for any V -category \underline{D}_V , we obtain a U -category \underline{D}_U as follows:

- $Ob \underline{D}_U = Ob \underline{D}_V$
- $\underline{D}_U(x, y) = F \underline{D}_V(x, y)$
- Composition + identities:

$$\begin{aligned}
 F \underline{D}_V(y, z) \otimes F \underline{D}_V(x, y) &\xrightarrow{\text{lax}} F(\underline{D}_V(y, z) \times \underline{D}_V(x, y)) \xrightarrow{F(0)} F \underline{D}_V(x, z) = \underline{D}_U(x, z) \\
 \mathbb{1} &\xrightarrow{\text{lax}} F(*) \xrightarrow{F(id_x)} F \underline{D}_V(x, x) = \underline{D}_U(x, x)
 \end{aligned}$$

Exercise Prove that \underline{D}_U is indeed a U -category.

Unonument = change of base induced by $V(*, -): V \rightarrow \text{Set}$

V-functors and V-natural transformations

RIEHECHT p. 44

DEFINITION A **V**-functor $F: \underline{C} \rightarrow \underline{D}$ between **V**-categories is given by an object map $\underline{C} \ni x \mapsto Fx \in \underline{D}$ together with morphisms

$$\underline{C}(x, y) \xrightarrow{F_{x,y}} \underline{D}(Fx, Fy)$$

in **V** for each $x, y \in \underline{C}$ such that the following diagrams commute for all $x, y, z \in \underline{C}$:

$$\begin{array}{ccc} \underline{C}(y, z) \times \underline{C}(x, y) & \xrightarrow{\circ} & \underline{C}(x, z) \\ \downarrow F_{y,z} \times F_{x,y} & & \downarrow F_{x,z} \\ \underline{D}(Fy, Fz) \times \underline{D}(Fx, Fy) & \xrightarrow{\circ} & \underline{D}(Fx, Fz) \end{array} \quad \begin{array}{ccc} * & \xrightarrow{\text{id}_x} & \underline{C}(x, x) \\ & \searrow \text{id}_{Fx} & \downarrow F_{x,x} \\ & & \underline{D}(Fx, Fx) \end{array}$$

p. 44

DEFINITION A **V**-natural transformation $\alpha: F \Rightarrow G$ between a pair of **V**-functors $F, G: \underline{C} \Rightarrow \underline{D}$ consists of a morphism $\alpha_x: * \rightarrow \underline{D}(Fx, Gx)$ in **V** for each $x \in \underline{C}$ such that for all $x, y \in \underline{C}$ the following diagram commutes:

$$\begin{array}{ccc} \underline{C}(x, y) & \xrightarrow{F_{x,y}} & \underline{D}(Fx, Fy) \\ \downarrow G_{x,y} & & \downarrow (\alpha_y)_* \\ \underline{D}(Gx, Gy) & \xrightarrow{(\alpha_x)_*} & \underline{D}(Fx, Gy) \end{array} \quad \begin{array}{l} \alpha \circ Ff \\ Gf \circ \alpha \end{array}$$

where $-_*$ is defined by

$(g: * \rightarrow \underline{D}(y, z))$

$$g_*: \underline{D}(x, y) \cong * \times \underline{D}(x, y) \xrightarrow{g \times 1} \underline{D}(y, z) \times \underline{D}(x, y) \xrightarrow{\circ} \underline{D}(x, z).$$

Exercise Show that V-categories, V-functors, and V-natural transformations form a 2-category V-cat.

Exercise Show that change of base extends to a 2-functor ($= \text{Cat}$ -enriched functor). Instantiate this to unenrichment.

Exercise Show that when $\underline{D} = \underline{V}$, then a \underline{V} -natural transformation $d: F \rightarrow G: \underline{C} \rightarrow \underline{V}$ consists of morphisms $d_x \in \underline{V}(F_x, G_x)$ p.t.

$$\begin{array}{ccccc}
 \underline{C}(x, y) \otimes F_x & \xrightarrow{\quad} & \underline{V}(F_x, F_y) \otimes F_x & \xrightarrow{\quad} & F_y \\
 \downarrow 1 \otimes d_x & & \text{F enriched} & & \text{counit} \\
 & & \text{functor} & & \text{Co eval} \\
 & & & & \downarrow d_y \\
 \underline{C}(x, y) \otimes G_x & \xrightarrow{\quad} & \underline{V}(G_x, G_y) \otimes G_x & \xrightarrow{\quad} & G_y \\
 & & \text{G enriched} & & \text{eval} \\
 & & \text{functor} & &
 \end{array}$$

Exercise Show that \underline{V} -adjunctions and \underline{V} -equivalences, i.e. adjunctions and equivalences in $\underline{V}\text{-Cat}$, cf. p. 10 instantiate as expected:

CHT p. 47

DEFINITION A \underline{V} -adjunction consists of \underline{V} -functors $F: \underline{C} \rightarrow \underline{D}$, $G: \underline{D} \rightarrow \underline{C}$ together with

- \underline{V} -natural isomorphisms $\underline{D}(Fc, d) \cong \underline{C}(c, Gd)$ in \underline{V}

DEFINITION A \underline{V} -equivalence of categories is given by a \underline{V} -functor $F: \underline{C} \rightarrow \underline{D}$ that is

- **essentially surjective:** every $d \in \underline{D}$ is isomorphic (in \underline{D}_0) to some object Fc .
- **\underline{V} -fully faithful:** for each $c, c' \in \underline{C}$, the map $F_{c, c'}: \underline{C}(c, c') \rightarrow \underline{D}(Fc, Fc')$ is an isomorphism in \underline{V} .

Hint. This involves proving an enriched version of the proposition on p. 4 of lecture 0.

Exercises for the road ↖ used in lecture 6

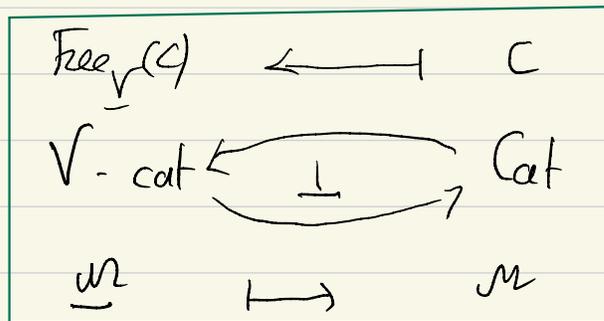
Exercise 1 Show that if V has copowers $X \cdot \mathbb{I} = \mathbb{I} + \mathbb{I} + \dots + \mathbb{I}$ #(X) times

that are preserved by \otimes , i.e. $(X \cdot \mathbb{I}) \otimes V \simeq X \cdot (\mathbb{I} \otimes V) \simeq X \cdot V$, (cf. lecture 1 p. 5) then

the unenrichment functor $V\text{-cat} \rightarrow \text{Cat}$ has a left adjoint (free V -category functor).

Hints • For C , take $\text{Ob}(FC) = \text{Ob}(C)$, $FC(x, y) = \underline{C(x, y) \cdot \mathbb{I}}$

• composition by reindexing copies of \mathbb{I} .



Exercise 2 Consider a V -adjunction $\underline{C} \xrightleftharpoons[G]{F} \underline{D}$

Show that G preserves arbitrary limits of ordinary functors $E \rightarrow D$ in the strong sense of a certain canonical maps (in V) being iso.

Variations on Hom-functors

Given a \mathcal{V} enriched category \underline{M} , we have three hom functors

- An enriched functor $\overset{\text{mon. closed}}{\underline{M}[m, -]} : \underline{M} \rightarrow \underline{\mathcal{V}}$, defined by transposition of composition:

$$\underline{M}[m_1, m_2] \rightarrow \underline{\mathcal{V}}[\underline{M}[m, m_1], \underline{M}[m, m_2]]$$

- The unenrichment $\underline{M}[m, -] : \underline{M} \rightarrow \underline{\mathcal{V}}$ of $\underline{M}[m, -]$, mapping $f : I \rightarrow \underline{M}[m_1, m_2]$ to

$$\underline{M}[m, m_1] \rightarrow I \otimes \underline{M}[m, m_1] \rightarrow \underline{M}[m_1, m_2] \otimes \underline{M}[m, m_1] \rightarrow \underline{M}[m, m_2]$$

- The representable functor $\underline{M}[m, -] : \underline{M} \rightarrow \mathbf{Set}$ in the underlying category M of \underline{M} . We have $\underline{M}[m, -] = \underline{\mathcal{V}}[I, -] \circ \underline{M}[m, -]$.

Lemma (CMT 3.5.12) Let \underline{C} be a $\underline{\mathcal{V}}$ -category. TFAE

- $x, y \in \underline{C}$ are isomorphic (i.e. isomorphic in the underlying cat. C)
- the representable functors $\underline{C}(x, -), \underline{C}(y, -) : \underline{C} \Rightarrow \mathbf{Set}$ are naturally isomorphic
- the unenriched representable functors $\underline{C}(x, -), \underline{C}(y, -) : \underline{C} \Rightarrow \mathcal{V}$ are naturally isomorphic
- the representable \mathcal{V} -functors $\underline{C}(x, -), \underline{C}(y, -) : \underline{C} \Rightarrow \underline{\mathcal{V}}$ are \mathcal{V} -isomorphic

Proof (i) \Rightarrow (iv) If $g : I \rightarrow \underline{C}(y, x)$ is iso, then $g^* : \underline{C}(x, z) \rightarrow \underline{C}(y, z)$ is iso, yielding (cf. exercise p. 14) a $\underline{\mathcal{V}}$ -iso $\underline{C}(x, -) \rightarrow \underline{C}(y, -)$.

(iv) \Rightarrow (iii) is by unenrichment

(iii) \Rightarrow (ii) uses the factorisation $\underline{C}(x, -) = \underline{\mathcal{V}}[I, -] \circ \underline{C}(x, -)$

(ii) \Rightarrow (i) follows from the usual Yoneda Lemma

(This lemma is a heating up for enriched Yoneda Lemma, coming --)

Tensored and cotensored \mathcal{V} -categories

CHT 3.7.2

DEFINITION ^{closed \mathcal{V}} A \mathcal{V} -category \underline{M} is **tensored** if for each $v \in \mathcal{V}$ and $m \in M$ there is an object $v \otimes m \in M$ together with isomorphisms \hookrightarrow or copowered

$$\underline{M}(v \otimes m, n) \cong \underline{V}(v, \underline{M}(m, n)) \quad \forall v \in \mathcal{V} \quad m, n \in M.$$

i.e. $\forall m$ $(-\otimes m): \underline{V} \rightleftarrows \underline{M}(m, -)$ $\leftarrow \mathcal{V}$ -adjunction

DEFINITION 3.7.3. A \mathcal{V} -category \underline{M} is **cotensored** if for each $v \in \mathcal{V}$ and $n \in M$ there is an object $n^v \in M$ together with isomorphisms \leftarrow or powered

$$\underline{M}(m, n^v) \cong \underline{V}(v, \underline{M}(m, n)) \quad \forall v \in \mathcal{V} \quad m, n \in M.$$

i.e. $\forall n$ $\underline{M}(-, n): \underline{M}^{op} \rightleftarrows \underline{V}: n^-$ $\leftarrow \mathcal{V}$ -adjunction

If \underline{M} is tensored and cotensored, then

other notation $\mathcal{E}(v, n)$

$$\underline{M}(v \otimes m, n) \cong \underline{V}(v, \underline{M}(m, n)) \cong \underline{M}(m, n^v).$$

and we get a third adjunction

$$\forall v \quad (v \otimes -): \underline{M} \rightleftarrows \underline{M}: -^v$$

Hence \otimes preserves colimits in both variables

When $\mathcal{V} = \text{Set}$, tensor (resp. cotensor) = (# of)-fold coproduct (resp. product)

Exercise Show that

$$(- \otimes -): \underline{V} \otimes \underline{M} \rightarrow \underline{M}, \quad (-^v): \underline{V}^{op} \otimes \underline{M} \rightarrow \underline{M}, \quad \underline{M}(-, -): \underline{M}^{op} \otimes \underline{M} \rightarrow \underline{V}$$

are \underline{V} -bifunctors. (On the way, define $\underline{C} \otimes \underline{D}$, and \underline{C}^{op})

Here, the symmetric structure of \mathcal{V} is used!

Exercise Show that if \underline{M} is tensored, then

$$I \otimes m \cong m \quad v \otimes (w \otimes m) \cong (v \otimes w) \otimes m \quad \text{(Hint: use ex. on p. 94)}$$

More on this p. 18!

Two-variable adjunctions

Shulman Homotopy limits and colimits and enriched homotopy theory 14.8

Definition A \mathcal{V} -adjunction of two variables $(\otimes, \text{hom}_\ell, \text{hom}_r): \underline{\mathcal{M}} \otimes \underline{\mathcal{N}} \rightarrow \underline{\mathcal{P}}$ between \mathcal{V} -categories consists of \mathcal{V} -bifunctors

$$\begin{aligned}\otimes &: \underline{\mathcal{M}} \otimes \underline{\mathcal{N}} \rightarrow \underline{\mathcal{P}} \\ \text{hom}_\ell &: \underline{\mathcal{M}}^{op} \otimes \underline{\mathcal{P}} \rightarrow \underline{\mathcal{N}} \\ \text{hom}_r &: \underline{\mathcal{N}}^{op} \otimes \underline{\mathcal{P}} \rightarrow \underline{\mathcal{M}}\end{aligned}$$

together with \mathcal{V} -natural isomorphisms between hom-objects in \mathcal{V} :

$$\underline{\mathcal{P}}(M \otimes N, P) \cong \underline{\mathcal{M}}(N, \text{hom}_\ell(M, P)) \cong \underline{\mathcal{N}}(M, \text{hom}_r(N, P)).$$

By the first exercise on p. 16,

a tensored and cotensored \mathcal{V} -category exhibits a two-variable adjunction:

$$\underline{\mathcal{M}}(v \otimes m, n) \cong \underline{\mathcal{V}}(v, \underline{\mathcal{M}}(m, n)) \cong \underline{\mathcal{M}}(m, n^v).$$

(dictionary $v \mapsto M$ $v \mapsto \underline{M}$ $\underline{\mathcal{M}}(m, n) = \text{hom}_r(m, n)$
 $m \mapsto N$ $\underline{\mathcal{M}} \mapsto \underline{N}$ $n^v = \text{hom}_\ell(v, n)$
 $n \mapsto P$ $\underline{\mathcal{M}} \mapsto \underline{P}$ $v \otimes m = v \otimes m$)

Categorical actions

We arrived to tensored V -categories through (V fixed)

- a V -category $\underline{\mathcal{M}}$
- a left adjoint $(- \otimes m) \dashv \underline{\mathcal{M}}(m, -)$

We can instead start from

- an ordinary category \mathcal{M}
- a bifunctor $\otimes: V \times \mathcal{M} \rightarrow \mathcal{M}$ satisfying

$$I \otimes m \xrightarrow{\hat{d}} m \quad (v \otimes w) \otimes m \xrightarrow{\hat{d}} v \otimes (w \otimes m)$$
 via isomorphisms compatible with α, λ, ρ
- a right adjoint for $- \otimes m$

categorical action
⌋

Tensored is then defined by requiring $v \otimes -$ to have a right adjoint

Exercise Show that action + right adjoint \Leftrightarrow enriched + left adjoint
On the way, make the required compatibilities explicit.

(Hints • Prolongate the second exercise p.16 by checking the compatibilities

• Use the right adjoint Γ_m of $- \otimes m$ to define $\underline{\mathcal{M}}(m, n)$ in such a way that its unenrichment is \mathcal{M} .)

$$\begin{array}{ccc} (v \otimes I) \otimes m & \xrightarrow{\hat{d}} & v \otimes (I \otimes m) \\ \downarrow \lambda \otimes m & \swarrow v \otimes \hat{d} & \\ v \otimes m & & v \otimes m \end{array}$$

$$\begin{array}{ccc} ((v_1 \otimes v_2) \otimes v_3) \otimes m & \xrightarrow{\hat{d}} & (v_1 \otimes v_2) \otimes (v_3 \otimes m) \\ \downarrow d \otimes m & & \searrow \hat{d} \\ (v_1 \otimes (v_2 \otimes v_3)) \otimes m & \xrightarrow{\hat{d}} & v_1 \otimes ((v_2 \otimes v_3) \otimes m) \\ & & \swarrow v_1 \otimes \hat{d} \\ & & v_1 \otimes (v_2 \otimes (v_3 \otimes m)) \end{array}$$

(Similarly $(I \otimes v) \otimes m \dots$)

\mathcal{V} -adjunctions between tensored (or cotensored) categories

CHT

PROPOSITION 3.7.10. *Suppose $\underline{\mathcal{M}}$ and $\underline{\mathcal{N}}$ are tensored and cotensored \mathcal{V} -categories and $F: \underline{\mathcal{M}} \rightleftarrows \underline{\mathcal{N}}: G$ is an adjunction between the underlying categories. Then the data of any of the following determines the other*

- (i) a \mathcal{V} -adjunction $\underline{\mathcal{N}}(Fm, n) \cong \underline{\mathcal{M}}(m, Gn)$
- (ii) a \mathcal{V} -functor F together with natural isomorphisms $F(v \otimes m) \cong v \otimes Fm$
- (iii) a \mathcal{V} -functor G together with natural isomorphisms $G(n^v) \cong (Gn)^v$

Proof (We make use twice of the Lemma p.15)

We have, in a tensored \mathcal{V} -category:

$$\mathcal{V}[v, \underline{\mathcal{M}}[m, n]] \cong \mathcal{V}[I, \underline{\mathcal{V}}[v, \underline{\mathcal{M}}[m, n]]] \cong \mathcal{V}[I, \underline{\mathcal{M}}[v \otimes m, n]]$$

Suppose now that we have an ordinary adjunction and that F commutes with \otimes , then the adjunction is enriched:

$$\begin{aligned} \mathcal{V}[v, \underline{\mathcal{M}}[m, Gn]] &\cong \mathcal{V}[I, \underline{\mathcal{M}}[v \otimes m, Gn]] \\ &\cong \mathcal{V}[I, \underline{\mathcal{N}}[F(v \otimes m), n]] \quad (\text{unenriched adjunction}) \\ &\cong \mathcal{V}[I, \underline{\mathcal{N}}[v \otimes Fm, n]] \quad (\text{assumption}) \\ &\cong \mathcal{V}[v, \underline{\mathcal{N}}[Fm, n]] \end{aligned}$$

and we conclude by the unenriched Yoneda lemma applied to \mathcal{V} .

If we have the enriched adjunction and if F is enriched, we have an enrichment of G as follows:

$$\underline{\mathcal{N}}[n_1, n_2] \rightarrow \underline{\mathcal{N}}[FGn_1, n_2] \rightarrow \underline{\mathcal{N}}[Gn_1, Gn_2]$$

Conversely, any enriched adjunction implies that the left adjoint preserves tensors:

$$\begin{aligned} \underline{\mathcal{N}}[F(v \otimes m), n] &\stackrel{F \text{ is } \otimes}{\cong} \underline{\mathcal{M}}[v \otimes m, Gn] \\ &\stackrel{\text{tensorial}}{\cong} \underline{\mathcal{V}}[v, \underline{\mathcal{M}}[m, Gn]] \\ &\stackrel{F \text{ is } \otimes}{\cong} \underline{\mathcal{V}}[v, \underline{\mathcal{N}}[Fm, n]] \\ &\stackrel{\text{tensorial}}{\cong} \underline{\mathcal{N}}[v \otimes Fm, n] \end{aligned}$$

Change of base for (co)tensor categories

CHT **THEOREM** . Suppose we have an adjunction $F: \mathcal{V} \rightleftarrows \mathcal{U}: G$ between closed symmetric monoidal categories such that the left adjoint F is strong monoidal. Then any tensored, cotensored, and enriched \mathcal{U} -category becomes canonically enriched, tensored, and cotensored over \mathcal{V} .

Proof hint We set

$$v \star m := Fv \otimes m, \quad \{v, m\} := m^{Fv}, \quad \underline{M}_{\mathcal{V}}(m, n) := G\underline{M}_{\mathcal{U}}(m, n).$$

And then, by a sequence of natural bijections, we have

$$\begin{aligned} \mathcal{M}(v \star m, n) &= \mathcal{M}(Fv \otimes m, n) \\ &= \underline{\underline{V(Fv, \underline{M}_{\mathcal{U}}(m, n))}} \\ &= \underline{\underline{V(v, G\underline{M}_{\mathcal{U}}(m, n))}} = \underline{\underline{V(v, \underline{M}_{\mathcal{V}}(m, n))}} \end{aligned}$$

lecture 0, p. 6

Object of \mathcal{V} -natural transformations

- Recall the definition of (ordinary) end as limit, for $H: C^{op} \times C \rightarrow D$

$$\int_c H(c, c) = \lim_c \left(\prod_c H(c, c) \begin{matrix} \xrightarrow{\text{proj}} \\ \xrightarrow{f: c \rightarrow c'} \end{matrix} \prod_{c, c'} H(c, c') \right)$$

"zone to be enriched"

where

$$\xrightarrow{c, c', b} = \prod_c H(c, c) \xrightarrow{\text{projection}} H(c, c) \xrightarrow{Fcb} H(c, c')$$

$$\xrightarrow{c, c', b} = \prod_c H(c, c) \xrightarrow{\text{projection}} H(c', c) \xrightarrow{Fbd} H(c, c')$$

- Recall also (lecture 0, p. 5) the end formula for the set of nat. transp.

$$\text{Nat}(F, G) = \int_c D[F_c, G_c] \quad \text{for } c \begin{matrix} \xrightarrow{F} \\ \xrightarrow{G} \end{matrix} d, D(F, G, -): C^{op} \times C \rightarrow \text{Set}$$

We seek an enriched version: $\underline{C} \begin{matrix} \xrightarrow{F} \\ \xrightarrow{G} \end{matrix} \underline{D}, \underline{D}(F, G, -): \underline{C}^{op} \otimes \underline{C} \rightarrow \underline{V}$

Towards this goal, we slightly rephrase the formula for $H = D(F, G, -)$:

$$\int_c D[F_c, G_c] = \lim_c \left(\prod_c D(F_c, G_c) \xrightarrow{\text{in Set}} \prod_{c, d} D(F_c, G_d)^{C(c, d)} \right) \text{ and we define}$$

$$\underline{V}^D(F, G) = \lim_c \left(\prod_c \underline{D}(F_c, G_c) \xrightarrow{\text{in } \underline{V}} \prod_{c, d} \underline{V}(C(c, d), \underline{D}(F_c, G_d)) \right)$$

CHT **LEMMA 7.3.5** (\mathcal{V} -Yoneda lemma). Given a small \mathcal{V} -category \underline{D} , and object $d \in \underline{D}$, and a \mathcal{V} -functor $F: \underline{D} \rightarrow \underline{V}$, the canonical map is a \mathcal{V} -natural isomorphism

$$Fd \xrightarrow{\cong} \underline{V}^{\underline{D}}(\underline{D}(d, -), F).$$

Enriched ends

The enrichment of page 21 works in fact for arbitrary ends in a **cotensored** V -category:

for $\underline{H} : \underline{C} \otimes^{\text{op}} \underline{C} \rightarrow \underline{D}$ (enriched functor) we set

enriched end

$$\int_{\underline{C}} \underline{H}(c, c) = \lim_{\underline{C}} \left(\prod_c \underline{H}(c, c) \Rightarrow \prod_{c, c'} \underbrace{\underline{H}(c, c')}_{\underline{D}} \right)^{\underbrace{\underline{C}(c, c')}_{\underline{D}}}$$

(contrast with $\int_c H(c, c) = \lim_c \left(\prod_c H(c, c) \Rightarrow \prod_{c, c'} \prod_{f: c \rightarrow c'} H(c, c') \right)$ "zone to be enriched")

Thus we can reformulate the object of natural transf.

$$\underline{V}^{\underline{D}}(F, G) = \int_{\underline{C}} \underline{D}(F_c, G_c)$$

One defines enriched coends dually.

Proof of enriched Yoneda lemma

In uncurried version, one checks that the pairs of maps (for e, e' fixed) involved in the equalisation of the object of \mathcal{V} -natural transformations are

$$\begin{aligned} \underline{\mathcal{V}}[\underline{\mathbb{D}}[d, e], Fe] \otimes \underline{\mathbb{D}}[e, e'] \otimes \underline{\mathbb{D}}[d, e] &\longrightarrow Fe \otimes \underline{\mathbb{D}}[e, e'] \longrightarrow Fe' \\ \underline{\mathcal{V}}[\underline{\mathbb{D}}[d, e'], Fe'] \otimes \underline{\mathbb{D}}[e, e'] \otimes \underline{\mathbb{D}}[d, e] &\longrightarrow \underline{\mathcal{V}}[\underline{\mathbb{D}}[d, e'], Fe'] \otimes \underline{\mathbb{D}}[d, e'] \longrightarrow Fe' \end{aligned}$$

One derives from this observation that a morphism equalising the two arrows (cf. (7.3.3))

$$\Pi_e \underline{\mathcal{V}}[\underline{\mathbb{D}}[d, e], Fe] \longrightarrow \Pi_{e, e'} \underline{\mathcal{V}}[\underline{\mathbb{D}}[e, e'], \underline{\mathcal{V}}[\underline{\mathbb{D}}[d, e], Fe']]$$

amounts to giving an object v of \mathcal{V} and a family of maps $\lambda_e : v \otimes \underline{\mathbb{D}}[d, e] \longrightarrow Fe$ such that for all e, e' the following two morphisms are equal:

$$\begin{aligned} v \otimes \underline{\mathbb{D}}[d, e] \otimes \underline{\mathbb{D}}[e, e'] &\longrightarrow v \otimes \underline{\mathbb{D}}[d, e'] \xrightarrow{\lambda_{e'}} Fe' \\ v \otimes \underline{\mathbb{D}}[d, e] \otimes \underline{\mathbb{D}}[e, e'] &\xrightarrow{\lambda_e \otimes id} Fe \otimes \underline{\mathbb{D}}[e, e'] \longrightarrow Fe' \end{aligned}$$

Such an example is provided by Fd and the family $Fd \otimes \underline{\mathbb{D}}[d, e] \longrightarrow Fe$. We want, for every v and family of λ_e 's as above, to find a $\mu : v \longrightarrow Fd$ such that

$$\lambda_e = v \otimes \underline{\mathbb{D}}[d, e] \xrightarrow{\mu \otimes id} Fd \otimes \underline{\mathbb{D}}[d, e] \longrightarrow Fe.$$

By writing $\lambda_e = \lambda_e \circ (id \otimes id)$ and expanding the rightmost id as

$$\underline{\mathbb{D}}[d, e] \longrightarrow I \otimes \underline{\mathbb{D}}[d, e] \longrightarrow \underline{\mathbb{D}}[d, d] \otimes \underline{\mathbb{D}}[d, e] \longrightarrow \underline{\mathbb{D}}[d, e]$$

we synthesize μ satisfying the specification as

$$v \longrightarrow v \otimes I \longrightarrow v \otimes \underline{\mathbb{D}}[d, d] \xrightarrow{\lambda_d} Fd$$

That μ is determined is evidenced by writing $\mu = id \circ \mu$ and expanding id as above (replacing $\underline{\mathbb{D}}[d, e]$ with Fd), we get

$$\mu = v \longrightarrow v \otimes I \longrightarrow v \otimes \underline{\mathbb{D}}[d, d] \xrightarrow{\mu \otimes id} Fd \otimes \underline{\mathbb{D}}[d, d] \longrightarrow Fd,$$

which forces μ to be defined as synthesised above.

Simplicial categories

Simplicial categories are by definition $\hat{\Delta}$ -enriched categories. From lecture 5 on, we shall consider tensored and cotensored simplicial categories. Let $\underline{\mathcal{M}}$ be a simplicial category. Then

- The underlying category \mathcal{M} of $\underline{\mathcal{M}}$ is given by

$$\mathcal{M}(x, y) = \underline{\mathcal{M}}(x, y)_0 \quad \Delta_n^0 = \Delta([n], [0]) = \{*\}$$

In fact, in $\hat{\Delta}$, $*$ is the constant $*_n = \{*\} = \underline{\Delta}^0$, and we have thus $\mathcal{M}(x, y) = \mathcal{M}(\Delta^0 \otimes x, y) \simeq \hat{\Delta}(\Delta^0, \underline{\mathcal{M}}(x, y)) \simeq \underline{\mathcal{M}}(x, y)_0$

- Writing \bar{A} for the constant simplicial set $A_n = A$ ($A \in \text{Set}$), we have $\bar{A} \otimes x = (\#A)$ -fold coproduct of $x = A \cdot x$
written A for short

This follows from the following exercise

- the meaning of tensor and cotensor when $V = \text{Set}$ (p. 20)
- the change of base theorem p. 20

Exercise show that

$$(A \mapsto \bar{A}) : \text{Set} \xrightarrow{\perp} \hat{\Delta} : (X \mapsto X_0)$$

(Hint: contemplate naturality for the maps $! : [n] \rightarrow [0]$.)

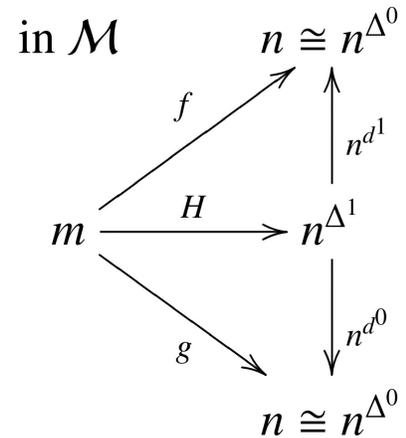
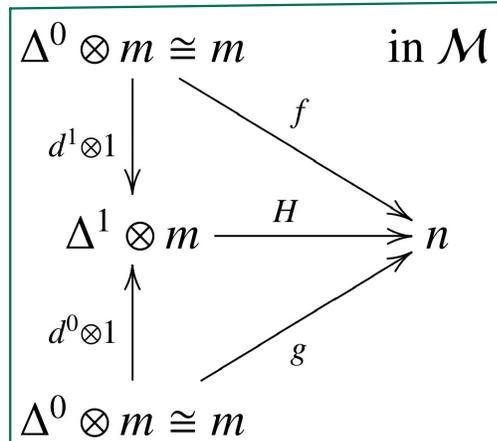
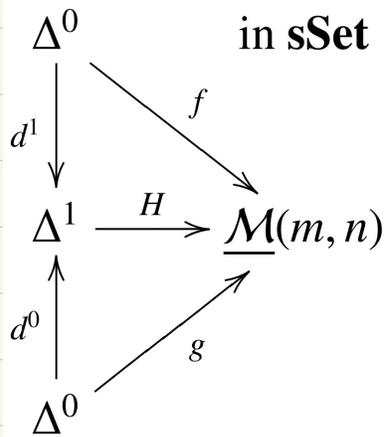
Exercise Show that the data of a simplicial

category $\underline{\mathcal{C}}$ can be arranged as that of a simplicial object $\mathcal{C}_\bullet = \Delta^{op} \rightarrow \text{Cat}$ (at p.t. \bullet)

- $\text{Ob}(\mathcal{C}_i) = \text{Ob}(\mathcal{C}_j) \forall i, j$
- C_f is identity-on-objects $\forall f \in \text{Mor} \Delta$.

Simplicial homotopy

A simplicial category structure gives rise to a natural notion of homotopy between parallel maps $f, g: m \rightarrow n$ of the underlying category:



Simplicial structure on $\mathcal{M}^{\Delta^{op}}$ $\hat{=} \text{category of simplicial objects}$

We suppose that \mathcal{M} is a cocomplete category. We consider the following structure on $\mathcal{M}^{\Delta^{op}}$.

- We define $\otimes : \hat{\Delta} \otimes \mathcal{M}^{\Delta^{op}} \rightarrow \mathcal{M}^{\Delta^{op}}$ as follows:

$$\boxed{(K \otimes X)_n = K_n \cdot X_n} \quad (= \coprod_{x \in K_n} X_n)$$

Exercise Show that this provides an action and a $\hat{\Delta}$ -enrichment (q.p. 18)

Hint: for the adjunction, imitate the synthesis of the internal hom in $\hat{\Delta}$:

$$\underline{\mathcal{M}^{\Delta^{op}}}(X, Y)_n \underset{\text{Yoneda}}{\simeq} \hat{\Delta}(\Delta^n, \underline{\mathcal{M}^{\Delta^{op}}}(X, Y)) \underset{\text{claimed adj.}}{\simeq} \mathcal{M}^{\Delta^{op}}(\Delta^n \otimes X, Y)$$

Geometric realization

DEFINITION If \mathcal{M} is simplicially enriched, tensored, and cocomplete, the **geometric realization** of a simplicial object is

$$|X_\bullet| := \int^{n \in \Delta} \Delta^n \otimes X_n.$$

These coends define a functor $|-| : \mathcal{M}^{\Delta^{op}} \rightarrow \mathcal{M}$.

Exercise Show that for a constant simplicial object \bar{m} , we

have $|\bar{m}| \simeq m$

Hint Use exercise of Lecture 0 p. 1

Summary of enriched structures encountered

- Set - categories = ordinary categories
- Cat - categories = 2-categories
- $\hat{\Delta}$ - categories = simplicial categories
- Change of base U -categories $\rightarrow V$ -categories
(in particular underlying category of an enriched category)

Enrichment demystified

Tensored V -categories as ordinary categories
with some structure (action + adjoint)

(The situation is a bit similar to cartesian closed categories vs closed categories, which have a rather \nearrow informal homsets without cart. product complicated definition)